

# Mula Education Society's Arts Commerce and Science College **AUDIT REPORT**

Stage 1- Remote Audit

Report issued at 8:43 GMT on 26-Sep-2022



**Mula Education Society's Arts Commerce and Science College** **AUDIT REPORT**

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<b>Client ID#:</b>	CMPY-189257
<b>Client/Address:</b>	Mula Education Society's Arts Commerce and Science College A/P- Sonai - Rahuri Road, Sonai, Taluka, Newasa, Dist Ahmednagar - 414105, Maharashtra, India
<b>Audit Criteria:</b>	ISO 14001:2015
<b>Audit Activity:</b>	Stage 1- Remote Audit
<b>Date(s) of Audit:</b>	Dist Ahmednagar, India: 22-Sep-2022
<b>Auditor(s) (level):</b>	Suresh Tumkur Nagarajarao (Lead Auditor, Dist Ahmednagar, India)
<b>Scope of Audit and Scope of Certification:</b>	<b>Site: Mula Education Society's Arts Commerce and Science College, Dist Ahmednagar, Maharashtra, India</b> <b>ISO 14001:2015:</b> Provision of Higher Secondary Education, Bachelor's Degree, Diploma, Graduate and Undergraduate programs in Arts, Commerce and Science Streams.

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## OVERALL RESULT:

No Action Required

Areas of concern have been identified, and will need to be addressed prior to stage 2.

## EXECUTIVE SUMMARY

The remote audit stage 1 conducted for i manday- to assess the organizations readiness for stage 2 audit. Verified documentation, policy, objectives, R & O, Environmental aspects, Management commitment, IA and MRM . AOC identified

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## FINDING DETAIL

<b>Finding #:</b>	<b>Audit Criteria:</b>	<b>Corrective Action Plan Due Date:</b>	<b>Corrective Action Implementation Date:</b>
Finding 1225251 - 1	ISO 14001:2015	Not Applicable	Not Applicable
<b>Issued by:</b>	<b>Classification:</b>	<b>Document Ref#:</b>	<b>Action Required:</b>
T N Suresh	Minor Area of Concern	APEX Manula ACS/EMS/01	No response required. Action to be taken prior to stage 2.

**Finding:**

RRA verified- Annexure 10- No evidence of determining the roles, responsibility , authority for EMS performance.

**Requirement:**

5.3a

Top management shall assign the responsibility and authority for ensuring that the environmental management system conforms to the requirements of this International Standard.

**Objective Evidence:**

Annexure 10 verified .

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<b>Finding #:</b>	<b>Audit Criteria:</b>	<b>Corrective Action Plan Due Date:</b>	<b>Corrective Action Implementation Date:</b>
Finding 1225251 - 2	ISO 14001:2015	Not Applicable	Not Applicable
<b>Issued by:</b>	<b>Classification:</b>	<b>Document Ref#:</b>	<b>Action Required:</b>
T N Suresh	Minor Area of Concern	Apex Manual-ACS EMS/01- Aspects Procedure ACS/SOP/EM/02	No response required. Action to be taken prior to stage 2.

**Finding:**

Carrying out aspect and related impacts for all the activities in the campus is not adequate

**Requirement:**

6.1.2

The organization shall determine those aspects that have or can have a significant environmental impact, i.e. significant environmental aspects, by using established criteria.

**Objective Evidence:**

Verified aspect/impact assessment register . However no evidence of assessing the aspects arising form activities like Hostel , canteen, Transportation

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<b>Finding #:</b>	<b>Audit Criteria:</b>	<b>Corrective Action Plan Due Date:</b>	<b>Corrective Action Implementation Date:</b>
Finding 1225251 - 3	ISO 14001:2015	Not Applicable	Not Applicable
<b>Issued by:</b>	<b>Classification:</b>	<b>Document Ref#:</b>	<b>Action Required:</b>
T N Suresh	Minor Area of Concern	Procedure-Ref ACS/SOP.07 1st MRM minutes dt. 2.9.22- chaired by the Principal Verified.	No response required. Action to be taken prior to stage 2.

**Finding:**

Discussing all the agenda points as per clause no 9.3 of the standard is not evident

**Requirement:**

9.3

The outputs of the management review shall include decisions related to continual improvement opportunities.

**Objective Evidence:**

Minutes of MRM dated 2.09.2022 verified.

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## **EVIDENCE SUMMARY**

The state of the management system is summarized below:

### **Process for Monitoring and Maintaining Compliance with Legal and Other Requirements**

Refer procedure: ACS-EMS-05

### **Assessment of Implementation related to Significant Environmental Aspects**

Significant aspects- Use of paper for teaching, use of electrical energy, Generation of food waste from hostel. use of chemicals in Laboratory

### **Completeness and effectiveness of the Client's system documentation in meeting the standard requirements**

The documented information required by this standard is adequate

### **Preparedness for the Stage 2 audit for each location and site specific conditions**

Ready for stage 2 audit- AOC identified in this activity.

### **Overview of understanding and implementation, with respect to identification of key performance or significant aspects, processes, objectives and operation of the management system**

Environmental aspect register and procedure verified- SOP/02. Aspect register verified for Chemistry Lab. Criteria- Probability and Severity in the scale of 1 to 3. Significance – Emergency. Legal non compliance and score >9, Severity>3.

### **Level of internal audit and management review to demonstrate preparedness for stage II**

MRM- Frequency once 6 months. Ref ACS/SOP.07

1st MRM minutes chaired by the Principal Verified.

Internal audit frequency once in 6 months. IA/1 conducted during 24th-25th August 2022 by trained internal auditors.

### **Conclusions regarding risk assessment/risk treatment processes**

Risk and opportunity process and register verified. System related risks identified.

Statutory &

Regulatory Body

Complying with the statutory and regulatory requirements as defined from time to time

Legal and local body issues

Business Loss, Reputation

Down for Organization

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- 1) Defined in documented information of Leadership
- 2) Review in Management review meetings

Environment

Environment compliance obligations

Legal actions against Organization

Effective documentation of all aspects and impacts and reviewing and monitoring compliance

Statutory &  
Regulatory Body

Complying with the statutory and regulatory requirements as defined from time to time

Legal and local body issues  
Business Loss, Reputation  
Down for Organization

- 1) Defined in documented information of Leadership
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Environment

Environment compliance obligations

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Effective documentation of all aspects and impacts and reviewing and monitoring compliance

**Conclusions regarding context of the organization**

SWOT detailed

4.1 -External and internal issues

n Low Competency on Environment related issues

n Task Performed Infrequently

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- n Complex Process
- n Old Equipment in labs not scaled up
- n Unclear University Requirements
- n Changes in Environment and Environmental related Rules, Regulations and Laws.

**4.2- Needs and expectations of all interested parties detailed**

- Students
- Owners and share holders
- Employees and contract workers
- Financial institutes and Banks
- Suppliers and sub-contractors
- Even society at large
- University Officers
- TPI officers
- Statutory officers

also include statutory and regulatory requirements including environmental and environmental requirements.

**Impact of Significant Changes (If Any)**

NIL

**Additional information/unresolved issues**

NIL

**Communication/Changes during the visit (if applicable)**

NIL

**References to appendices:**

Audit plan; List of critical suppliers; Interview record; Audit plan (as executed)

**Extent of use and effectiveness of Information and Communications Technology (ICT).**

ICT was used for 100% of this audit.

ICT used was effective in achieving the audit objectives.



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## LEAD AUDITOR RECOMMENDATION

Lead Auditor's Recommendation for ISO 14001:2015

Stage I Recommendation: – Ready – Minor AOC identified: Client is ready to proceed to Stage II.

## OTHER OR ADDITIONAL LEAD AUDITOR RECOMMENDATION

NA

## CLIENT ACKNOWLEDGEMENT

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<b>Acknowledged By:</b>	Dr. Shankar Laxman Laware, sonacollege@yahoo.co.in

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This report is based on a sample of evidence collected during the audit; therefore the results and conclusions include an element of uncertainty. This report and all its content is subject to an independent review prior to a decision concerning the awarding or renewal of certification.